

**NOTICE OF TECHNICAL CHANGE**

Date: September 20, 2010

**REGULATION TITLE:**  
Complaints Against Faculty Members

**REGULATION NO.:**  
7.036

**SUMMARY:** The Office of Equal Employment Opportunity's name has changed to the Office of Institutional Equity and Diversity. These changes are technical in nature and do not include any substantive changes that are required to be approved by the Board of Trustees.

**AUTHORITY:** BOG Regulation 1.001.

**THE FULL TEXT OF THE PROPOSED REGULATION IS ATTACHED TO THIS NOTICE.**

REGULATIONS OF THE  
UNIVERSITY OF FLORIDA

~~6C1-7.036 Academic Affairs;~~ Complaints Against Faculty Members.

(1) Complaints against a faculty member should normally be in writing and signed by the complainant in order for the University to proceed with an official inquiry into the complaint. However, complaints may also be verbal or anonymous.

(2) Complaints from outside the University shall be addressed or forwarded either to the President or to the Provost. The complaint may be handled by the addressee or forwarded to the dean (or director) or the appropriate vice president in the college (or school) of the faculty member concerned for appropriate action.

(3) Complaints from either outside or inside the University involving allegations of fraudulent or other dishonest acts, shall be referred to the Office of Audit and Compliance Review in accordance with the University's Policy Against Fraudulent or Other Dishonest Acts, February 25, 2002, incorporated herein by reference. A copy of this policy can be obtained by contacting the Office of Audit and Compliance Review, Post Office Box 113025, Gainesville, Florida 32611.

(4) Complaints involving allegations of sexual harassment shall be handled in accordance with the University's Policy on Sexual Harassment (1999), incorporated herein by reference. A copy of this policy can be obtained by contacting the Director of Institutional Diversity and Equity ~~Vice Provost in the Affirmative Action Office~~ at Post Office Box 115010 ~~113050~~, Gainesville, Florida 32611.

(5) Complaints that represent allegations of research misconduct shall be handled in accordance with the University's Policy for Dealing with Conduct in Research, University of Florida Regulation Rule 6C1-1.0101, F.A.C.

(6) Complaints from inside the University shall be submitted directly to the department chair of the faculty member concerned, who shall inform the dean (or director) or the appropriate vice president of the complaint.

(a) The department chair or other appropriate administrator shall determine whether the complaint has substance and whether further action is necessary. If it is determined the complaint has substance and further action is necessary, the faculty member shall be informed of the receipt of the complaint and will be given an opportunity to respond to the complaint in writing within ten (10) days.

(b) The department chair or administrator shall review the complaint, together with the faculty member's written response and provide a report to the dean (or director) or appropriate vice president stating whether:

1. the complaint lacks substance and no further action is necessary; or
2. the complaint has substance and remedial action shall be taken at the department or college level.

(c) The dean (or director) or appropriate vice president shall subsequently review the complaint, together with the faculty member's written response and the department chair's or administrator's report. If the report states the complaint has substance and remedial action should be taken at the college level, the dean (or director) or appropriate vice president shall determine the necessary appropriate remedial actions.

(6) Complaints involving IFAS or Health Center faculty shall be submitted to either a dean or to the vice president of the faculty member concerned, who shall determine whether the complaint has substance and whether further action is necessary. If it is determined that the complaint has substance and further action is necessary, the faculty member shall be informed of the receipt of the complaint and will be given an opportunity to respond to the complaint in writing. After subsequently reviewing the complaint, together with the faculty member's response, the dean or vice president shall determine the necessary appropriate remedial actions.

Authority: BOG Regulation 1.001, ~~BOG Resolution dated January 7, 2003~~

History--New 12-9-75, Amended 3-26-80, 5-14-85, Formerly 6C1-7.36, Amended 7-28-02, 12-18-06 (technical changes only), Formerly 6C1-7.036, Amended \_\_\_\_\_.